



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:)
)
Rajesh V. SUBBU et al.) Group Art Unit: 3624
)
Application Number: 10/781,805) Examiner: Unassigned
)
Filed: February 20, 2004)
)
For: SYSTEMS AND METHODS FOR)
MULTI-OBJECTIVE)
PORTFOLIO ANALYSIS USING)
PARETO SORTING)
EVOLUTIONARY)
ALGORITHMS)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit herewith copies of the references listed on the attached Form PTO-1449 (modified) for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form-1449 with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action. Therefore, it is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is

deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: 11/4/04

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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|------------------------|------------------------|
| Application Number | 10/781,805 |
| Filing Date | February 20, 2004 |
| First Named Inventor | Rajesh V. SUBBU et al. |
| Art Unit | 3624 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 52493.000361 |

Sheet 1 of 1

U.S. PATENT DOCUMENTS

| *Examiner Initials | Cite No. | DOCUMENT NUMBER Number - Kind Code (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|-------------|--|--------------------------------|--|---|
| /JN/ | 1. | US- 20030177077 | 09-18-2003 | Norman | |
| /JN/ | 2. | US- 20030182224 | 09-25-2003 | Horrigan et al. | |
| /JN/ | 3. | US- 20030187771 | 10-02-2003 | Bulan | |
| /JN/ | 4. | US- 20030208427 | 11-06-2003 | Peters et al. | |
| /JN/ | 5. | US- 20030208432 | 11-06-2003 | Wallman | |
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FOREIGN PATENT DOCUMENTS

| *Examiner Initials | Cite No. | FOREIGN PATENT DOCUMENT | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | TRANSLATION | |
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EXAMINER SIGNATURE /Jared Newton/ (03/21/2008) DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.